

**NEW UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
SON-0432

Total Pages in this Submission

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Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SPEECH CODING APPARATUS AND SPEECH DECODING APPARATUS

and invented by:

Kazunori OZAWAIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 47 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications *(if applicable)*
 - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 - d. ☐ Reference to Microfiche Appendix *(if applicable)*
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings *(if drawings filed)*
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
 - a. ☒ Formal
 - b. ☐ Informal

Number of Sheets 5

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Application Elements (Continued)

4. ☒ Oath or Declaration
- a. ☒ Newly executed (*original or copy*) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
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- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class ☐ Express Mail (*Specify Label No.*): HAND DELIVER
15. ☒ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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Accompanying Application Parts (Continued)

16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	11	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	8	- 3 =	5	x \$78.00	\$390.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,150.00

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APPLICATION
FOR
UNITED STATES
LETTERS PATENT

Applicants: Kazunori OZAWA
For: SPEECH CODING APPARATUS AND SPEECH
DECODING APPARATUS
Docket No.: SON-0432US

SPEECH CODING APPARATUS AND SPEECH DECODING APPARATUS

BACKGROUND OF THE INVENTION

FIELD OF THE INVENTION:

5 The present invention relates to a speech coding apparatus and speech decoding apparatus and, more particularly, to a speech coding apparatus for coding a speech signal at a low bit rate with high quality.

DESCRIPTION OF THE PRIOR ART:

10 As a conventional method of coding a speech signal with high efficiency, CELP (Code Excited Linear Predictive Coding) is known, which is disclosed, for example, in M. Schroeder and B. Atal, "Code-excited linear prediction: High quality speech at low bit rates", Proc. ICASSP, 1985, pp. 937-940 (reference 1) and Kleijn et al., "Improved speech quality and efficient vector quantization in SELP",
15 Proc. ICASSP, 1988, pp. 155-158 (reference 2).

20 In this CELP coding scheme, on the transmission side, spectrum parameters representing a spectrum characteristic of a speech signal are extracted from the speech signal for each frame (for example, 20 ms) using linear predictive coding (LPC) analysis. Each frame is divided into subframes (for example, of 5 ms), and for each subframe, parameters for an adaptive codebook (a delay
25 parameter and a gain parameter corresponding to the pitch

period) are extracted based on the sound source signal in the past and then the speech signal of the subframe is pitch predicted using the adaptive codebook.

With respect to the sound source signal obtained by
5 the pitch prediction, an optimum sound source code vector
is selected from a sound source codebook (vector
quantization codebook) consisting of predetermined types
of noise signals, and an optimum gain is calculated to
quantize the sound source signal.

10 The selection of a sound source code vector is performed so as to minimize the error power between a signal synthesized based on the selected noise signal and the residue signal. Then, an index and a gain representing the kind of the selected code vector as well as the spectrum parameter and the parameters of the adaptive codebook are combined and transmitted by a multiplexer section. A description of the operation of the reception side will be omitted.

The conventional coding scheme described above is
20 disadvantageous in that a large calculation amount is
required to select an optimum sound source code vector
from a sound source codebook.

This arises from the fact that, in the methods in references 1 and 2, in order to select a sound source code
25 vector, filtering or convolution calculation is performed

once for each code vectors, and such calculation is repeated by a number of times equal to the number of code vectors stored in the codebook.

Assume that the number of bits of the codebook is B and the order is N. In this case, if the filter or impulse response length in filtering or convolution calculation is K, the calculation amount required is $N \times K \times 2B \times 8000$ per second. As an example, if $B=10$, $N=40$ and $k=10$, 81,920,000 calculations are required per second. In this manner, the conventional coding scheme is disadvantageous in that it requires a very large calculation size.

Various methods which reduce the calculation amount required to search a sound source codebook have been proposed. One of the methods is an ACELP (Algebraic Code Excited Linear Prediction) method, which is disclosed, for example, in C. Laflamme et al., "16 kbps wideband speech coding technique based on algebraic CELP", Proc. ICASSP, 1991, pp.13-16 (reference 3).

According to the method disclosed in reference 3, a sound source signal is represented by a plurality of pulses and transmitted while the positions of the respective pulses are represented by predetermined numbers of bits. In this case, since the amplitude of each pulse is limited to +1.0 or -1.0, the calculation amount

As described above, according to the method disclosed in reference 3, a great reduction in calculation amount can be attained.

Such a problem arises for the following reason. Since a sound source is represented by a combination of a plurality of pulses, pulses concentrate near a pitch pulse as the start point of a pitch in a vowel interval of speech. This signal can therefore be efficiently expressed by a small number of pulses. For a random signal like background noise, however, pulses must be randomly generated, and hence the background noise cannot be properly expressed by a small number of pulses. As a consequence, if the bit rate decreases, and the number of pulses decreases, the sound quality of background noise abruptly deteriorates.

The present invention has been made in consideration of the above situation in the prior art, and has as its
25 object to provide a speech coding system which can solve

the above problems and suppress a deterioration in sound quality in terms of background noise, in particular, with a relatively small calculation amount.

In order to achieve the above object, a speech coding apparatus according to the first aspect of the present invention including a spectrum parameter calculation section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter, an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and a sound source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal is characterized by comprising a discrimination section for discriminating a mode on the basis of a past quantized gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from the discrimination section indicates a predetermined mode, and searches combinations of code vectors stored in the codebook and a plurality of shift amounts used to shift positions of the pulses so as to output a combination of a

code vector and shift amount which minimizes distortion relative to input speech, and a multiplexer section for outputting a combination of an output from the spectrum parameter calculation section, an output from the adaptive
5 codebook section, and an output from the sound source quantization section.

A speech coding apparatus according to the second aspect of the present invention including a spectrum parameter calculation section for receiving a speech
10 signal, obtaining a spectrum parameter, and quantizing the spectrum parameter, an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and a sound
15 source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal, is characterized by comprising a discrimination section for discriminating a mode on the basis of a past quantized
20 gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from the
25 discrimination section indicates a predetermined mode, and

outputs a code vector that minimizes distortion relative to input speech by generating positions of the pulses according to a predetermined rule, and a multiplexer section for outputting a combination of an output from the spectrum parameter calculation section, an output from the adaptive codebook section, and an output from the sound source quantization section.

A speech coding apparatus according to the third aspect of the present invention including a spectrum parameter calculation section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter, an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and a sound source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal is characterized by comprising a discrimination section for discriminating a mode on the basis of a past quantized gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from the

discrimination section indicates a predetermined mode, and
a gain codebook for quantizing gains, and searches
combinations of code vectors stored in the codebook, a
plurality of shift amounts used to shift positions of the
5 pulses, and gain code vectors stored in the gain codebook
so as to output a combination of a code vector, shift
amount, and gain code vector which minimizes distortion
relative to input speech, and a multiplexer section for
outputting a combination of an output from the spectrum
10 parameter calculation section, an output from the adaptive
codebook section, and an output from the sound source
quantization section.

A speech coding apparatus according to the fourth
aspect of the present invention including a spectrum
15 parameter calculation section for receiving a speech
signal, obtaining a spectrum parameter, and quantizing the
spectrum parameter, an adaptive codebook section for
obtaining a delay and a gain from a past quantized sound
source signal by using an adaptive codebook, and obtaining
20 a residue by predicting a speech signal, and a sound
source quantization section for quantizing a sound source
signal of the speech signal by using the spectrum
parameter and outputting the sound source signal is
characterized by comprising a discrimination section for
25 discriminating a mode on the basis of a past quantized

gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from the discrimination section indicates a predetermined mode, and a gain codebook for quantizing gains, and outputs a combination of a code vector and gain code vector which minimizes distortion relative to input speech by generating positions of the pulses according to a predetermined rule, and a multiplexer section for outputting a combination of an output from the spectrum parameter calculation section, an output from the adaptive codebook section, and an output from the sound source quantization section.

A speech decoding apparatus according to the fifth aspect of the present invention is characterized by comprising a demultiplexer section for receiving and demultiplexing a spectrum parameter, a delay of an adaptive codebook, a quantized gain, and quantized sound source information, a mode discrimination section for discriminating a mode by using a past quantized gain in the adaptive codebook, and a sound source signal reconstructing section for reconstructing a sound source signal by generating non-zero pulses from the quantized

sound source information when an output from the discrimination section indicates a predetermined mode, wherein a speech signal is reproduced by passing the sound source signal through a synthesis filter section
5 constituted by spectrum parameters.

As is obvious from the above aspects, according to the present invention, the mode is discriminated on the basis of the past quantized gain of the adaptive codebook. If a predetermined mode is discriminated, combinations of
10 code vectors stored in the codebook, which is used to collectively quantize the amplitudes or polarities of a plurality of pulses, and a plurality of shift amounts used to temporally shift predetermined pulse positions are searched to select a combination of a code vector and
15 shift amount which minimizes distortion relative to input speech. With this arrangement, even if the bit rate is low, a background noise portion can be properly coded with a relatively small amount calculation amount.

In addition, according to the present invention, a
20 combination of a code vector, shift amount, and gain code vector which minimizes distortion relative to input speech is selected by searching combinations of code vectors, a plurality of shift amounts, and gain code vectors stored in the gain codebook for quantizing gains. With this
25 operation, even if speech on which background noise is

superimposed is coded at a low bit rate, a background noise portion can be properly coded.

The above and many other objects, features and advantages of the present invention will become manifest to those skilled in the art upon making reference to the following detailed description and accompanying drawings in which preferred embodiments incorporating the principles of the present invention are shown by way of illustrative examples.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing the schematic arrangement of the first embodiment of the present invention;

Fig. 2 is a block diagram showing the schematic arrangement of the second embodiment of the present invention;

Fig. 3 is a block diagram showing the schematic arrangement of the third embodiment of the present invention;

Fig. 4 is a block diagram showing the schematic arrangement of the fourth embodiment of the present invention; and

Fig. 5 is a block diagram showing the schematic arrangement of the fifth embodiment of the present invention.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Several embodiments of the present invention will be described below with reference to the accompanying drawings. In a speech coding apparatus according to an embodiment of the present invention, a mode discrimination circuit (370 in Fig. 1) discriminates the mode on the basis of the past quantized gain of an adaptive codebook. When a predetermined mode is discriminated, a sound source quantization circuit (350 in Fig. 1) searches combinations of code vectors stored in a codebook (351 or 352 in Fig. 1), which is used to collectively quantize the amplitudes or polarities of a plurality of pulses, and a plurality of shift amounts used to temporally shift predetermined pulse positions, to select a combination of a code vector and shift amount which minimizes distortion relative to input speech. A gain quantization circuit (365 in Fig. 1) quantizes gains by using a gain codebook (380 in Fig. 1).

According to a preferred embodiment of the present invention, a speech decoding apparatus includes a demultiplexer section (510 in Fig. 5) for receiving and demultiplexing a spectrum parameter, a delay of an adaptive codebook, a quantized gain, and quantized sound source information, a mode discrimination section (530 in Fig. 5) for discriminating the mode on the basis of the

past quantized gain of the adaptive codebook, and a sound source decoding section (540 in Fig. 5) for reconstructing a sound source signal by generating non-zero pulses from the quantized sound source information. A speech signal
5 is reproduced or resynthesized by passing the sound source signal through a synthesis filter (560 in Fig. 5) defined by spectrum parameters.

According to a preferred embodiment of the present invention, a speech coding apparatus according to the
10 first aspect of the present invention includes a spectrum parameter calculation section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter, an adaptive codebook section for obtaining a delay and a gain from a past quantized sound
15 source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and a sound source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal is
20 characterized by comprising a discrimination section or discriminating a mode on the basis of a past quantized gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero
25 pulses and collectively quantizing amplitudes or

A speech coding apparatus according to the present
25 invention includes a spectrum parameter calculation

A speech coding apparatus according to the present
25 invention includes a spectrum parameter calculation

section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter, an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and a sound source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal, is characterized by comprising a discrimination section for discriminating a mode on the basis of a past quantized gain of an adaptive codebook, a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from the discrimination section indicates a predetermined mode, and outputs a code vector that minimizes distortion relative to input speech by generating positions of the pulses according to a predetermined rule, and a multiplexer section for outputting a combination of an output from the spectrum parameter calculation section, an output from the adaptive codebook section, an output from the sound source quantization section, a demultiplexer section for receiving and demultiplexing a spectrum parameter, a delay of an adaptive codebook, a quantized

gain, and quantized sound source information, a mode discrimination section for discriminating a mode by using a past quantized gain in the adaptive codebook, and a sound source signal reconstructing section for
5 reconstructing a sound source signal by generating pulse positions according to a predetermined rule and generating amplitudes or polarities for the pulses from a code vector to generate a sound source signal when the output from the discrimination section indicates a predetermined mode. A
10 speech signal is reproduced by passing the sound source signal through a synthesis filter section constituted by spectrum parameters.

First Embodiment:

Fig. 1 is a block diagram showing the arrangement of
15 a speech coding apparatus according to an embodiment of the present invention.

Referring to Fig. 1, when a speech signal is input through an input terminal 100, a frame division circuit 110 divides the speech signal into frames (for example, of
20 20 ms). A subframe division circuit 120 divides the speech signal of each frame into subframes (for example, of 5 ms) shorter than the frames.

A spectrum parameter calculation circuit 200 extracts speech from the speech signal of at least one subframe
25 using a window (for example, of 24 ms) longer than the

subframe length and calculates spectrum parameters by computations of a predetermined order (for example, $P = 10$). In this case, for the calculation of spectrum parameters, an LPC analysis, a Burg analysis, and the like which are well known in the art can be used. In this case, the Burg analysis is used. Since the Burg analysis is disclosed in detail in Nakamizo, "Signal Analysis and System Identification", Corona, 1988, pp. 82 - 87 (reference 4), a description thereof will be omitted.

In addition, a spectrum parameter calculation circuit transforms linear predictive coefficients α_{il} ($i=1, \dots, 10$) calculated using the Burg method into LSP parameters suitable for quantization and interpolation. Such transformation from linear predictive coefficients into LSP parameters is disclosed in Sugamura et al., "Speech Data Compression by LSP Speech Analysis-Synthesis Technique", Journal of the Electronic Communications Society of Japan, J64-A, 1981, pp. 599-606 (reference 5).

For example, linear predictive coefficients calculated for the second and fourth subframes based on the Burg method are transformed into LSP parameters whereas LSP parameters of the first and third subframes are determined by linear interpolation, and the LSP parameters of the first and third subframes are inversely transformed into linear predictive coefficients. Then,

the linear predictive coefficients α_{il} ($i=1, \dots, 10$,
1=1, ..., 5) of the first to fourth subframes are output to
a perceptual weighting circuit 230. The LSP parameters of
the fourth subframe are output to the spectrum parameter
5 quantization circuit 210.

The spectrum parameter quantization circuit 210
efficiently quantizes the LSP parameters of a
predetermined subframe from the spectrum parameters and
outputs a quantization value which minimizes the
10 distortion given by:

$$D_j = \sum_{i=1}^p W(i)[LSP(i) - QLSP(i)_j]^2 \quad \dots (1)$$

where $LSP(i)$, $QLSP(i)_j$, and $W(i)$ are the LSP parameter of
the i th-order before quantization, the j th result after
the quantization, and the weighting coefficient,
15 respectively.

In the following description, it is assumed that
vector quantization is used as a quantization method, and
LSP parameters of the fourth subframe are quantized.
Any known technique can be employed as the technique for
20 vector quantization of LSP parameters. More specifically,
a technique disclosed in, for example, Japanese Unexamined
Patent Publication No. 4-171500 (Japanese Patent
Application No. 2-297600) (reference 6), Japanese
Unexamined Patent Publication No. 4-363000 (Japanese

Patent Application No. 3-261925) (reference 7), Japanese
Unexamined Patent Publication No. 5-6199 (Japanese Patent
Application No. 3-155049) (reference 8), T. Nomura et al.,
"LSP Coding VQ-SVQ with Interpolation in 4.075 kbps M-
5 LCELP Speech Coder", Proc. Mobile Multimedia
Communications, 1993, pp. B.2.5 (reference 9) or the like
can be used. Accordingly, a description of details of the
technique is omitted herein.

The spectrum parameter quantization circuit 210
10 reconstructs the LSP parameters of the first to fourth
subframes based on the LSP parameters quantized with the
fourth subframe. Here, linear interpolation of the
quantization LSP parameters of the fourth subframe of the
current frame and the quantization LSP parameters of the
15 fourth subframe of the immediately preceding frame is
performed to reconstruct LSP parameters of the first to
third subframes.

In this case, after a code vector which minimizes the
error power between the LSP parameters before quantization
20 and the LSP parameters after quantization is selected, the
LSP parameters of the first to fourth subframes are
reconstructed by linear interpolation. In order to
further improve the performance, after a plurality of
candidates are first selected as a code vector which
25 minimizes the error power, the accumulated distortion may

be evaluated with regard to each of the candidates to select a set of a candidate and an interpolation LSP parameter which exhibit a minimum accumulated distortion. The details of this technique are disclosed, for example, in Japanese Patent Application No. 5-8737 (reference 10).

The LSP parameters of the first to third subframes reconstructed in such a manner as described above and the quantization LSP parameters of the fourth subframe are transformed into linear predictive coefficients α_{il} ($i=1, \dots, 10, l=1, \dots, 5$) for each subframe, and the linear predictive coefficients are output to the impulse response calculation circuit 310. Furthermore, an index representing the code vector of the quantization LSP parameters of the fourth subframe is output to a multiplexer 400.

The perceptual weighting circuit 230 receives the linear predictive coefficients α_{il} ($i=1, \dots, 10, l=1, \dots, 5$) before quantization for each subframe from the spectrum parameter calculation circuit 200, performs perceptual weighting for the speech signal of the subframe on the basis of the method described in reference 1 and outputs a resultant perceptual weighting signal.

A response signal calculation circuit 240 receives the linear predictive coefficients α_{il} for each subframe from the spectrum parameter calculation circuit 200,

5

10

i))

15

20

The subtracter 235 subtracts response signals $x_2(n)$

5

and outputs a signal $x'_w(n)$ to an adaptive codebook circuit 500.

10

and outputs them to the adaptive codebook circuit 500 and a sound source quantization circuit 350.

20

$$\text{for } y_w(n - T) = v(n - T) * h_w(n) \quad \dots (8)$$

and outputs an index representing the delay to the

multiplexer 400.

where the symbol * signifies a convolution calculation.

A gain β is obtained by:

$$\beta = \sum_{n=0}^{N-1} x'_w(n) y_w(n - T) / \sum_{n=0}^{N-1} y_w^2(n - T) \quad \dots (9)$$

5 In this case, in order to improve the extraction accuracy of a delay for the voice of a woman or a child, the delay may be calculated not as an integer sample value but a decimal fraction sample value. A detailed method is disclosed, for example, in P. Kroon et. al., "Pitch
10 predictors with high terminal resolution", Proc. ICASSP, 1990, pp.661-664 (reference 11).

In addition, the adaptive codebook circuit 500 performs pitch prediction:

$$e_w(n) = x'_w(n) - \beta v(n - T) * h_w(n) \quad \dots (10)$$

15 and outputs a resultant predictive residue signal $e_w(n)$ to the sound source quantization circuit 350.

A mode discrimination circuit 370 receives the adaptive codebook gain β quantized by the gain quantization circuit 366 one subframe ahead of the current
20 subframe, and compares it with a predetermined threshold Th to perform voiced/unvoiced determination. More specifically, if β is larger than the threshold Th , a voiced sound is determined. If β is smaller than the threshold Th , an unvoiced sound is determined. The mode

discrimination circuit 370 then outputs a voiced/unvoiced discrimination information to the sound source quantization circuit 350, the gain quantization circuit 366, and the weighting signal calculation circuit 360.

5 The sound source quantization circuit 350 receives the voiced/unvoiced discrimination information and switches pulses depending on whether a voiced or an unvoiced sound is determined.

Assume that M pulses are generated for a voiced sound.

10 For a voiced sound, a B-bit amplitude codebook or polarity codebook is used to collectively quantize the amplitudes of pulses in units of M pulses. A case wherein the polarity codebook is used will be described below. This polarity codebook is stored in a codebook 351 for a
15 voiced sound, and is stored in a codebook 352 for an unvoiced sound.

For a voiced sound, the sound source quantization circuit 350 reads out polarity code vectors from the codebook 351, assigns positions to the respective code
20 vectors, and selects a combination of a code vector and a position which minimizes the distortion given by:

$$D_k = \sum_{n=0}^{N-1} \left[e_w(n) - \sum_{i=1}^M g'_{ik} h_w(n - m_i) \right]^2 \quad \dots (11)$$

where $h_w(n)$ is the perceptual weighting impulse response.

Equation (11) can be minimized by obtaining a

m_i which maximizes $D_{(k,i)}$ given by:

$$D_{(k,j)} = \left[\sum_{n=0}^{N-1} e_w(n) s_{wk}(m_i) \right]^2 / \sum_{n=0}^{N-1} s_{wk}^2(m_i) \quad \dots (12)$$

where s_{wk} (mi) is calculated according to equation (5) above.

5 Alternatively, a combination which maximizes $D_{(k,i)}$:

$$D_{(k,j)} = \left[\sum_{n=0}^{N-1} \phi(n) v_k(n) \right]^2 / \sum_{n=0}^{N-1} s_{wk}^2(m_i) \quad \dots (13)$$

$$\text{for } \phi(n) = \sum_{i=n}^{N-1} e_w(i) h_w(i-n), n = 0, \dots, N-1$$

... (14)

may be selected. The calculation amount required for the
10 numerator is smaller in this operation than in the above
operation.

In this case, to reduce the calculation amount, the positions that the respective pulses can assume for a voiced sound can be limited as in reference 3. If, for example, $N = 40$ and $M = 5$, the possible positions of the respective pulses are given by Table 1.

Table 1

0, 5, 10, 15, 20, 25, 30, 35
1, 6, 11, 16, 21, 26, 31, 36
2, 6, 12, 17, 22, 27, 32, 37
3, 8, 13, 18, 23, 28, 33, 38
4, 9, 14, 19, 24, 29, 34, 39

An index representing a code vector is then output to

the multiplexer 400.

Furthermore, a pulse position is quantized with a predetermined number of bits, and an index representing the position is output to the multiplexer 400.

5 For unvoiced periods, as indicated by Table 2, pulse positions are set at predetermined intervals, and shift amounts for shifting the positions of all pulses are determined in advance. In the following case, the pulse positions are shifted in units of samples, and fourth
10 types of shift amounts (shift 0, shift 1, shift 2, and shift 3) can be used. In this case, the shift amounts are quantized with two bits and transmitted.

Table 2

Pulse Position
0, 4, 8, 12, 16, 20, 24, 28,...

The sound source quantization circuit 350 further
15 receives polarity code vectors from the polarity codebook (sound source codebook) 352, and searches combinations of all shift amounts and all code vectors to select a combination of a shift amount $\delta(j)$ and a code vector g_k which minimizes the distortion given by:

$$20 \quad D_{kj} = \sum_{n=0}^{N-1} \left[e_w(n) - \sum_{l=1}^M g_{lk}' h_w(n - m_l - \delta(j)) \right]^2 \quad \dots (15)$$

An index representing the selected code vector and a code representing the selected shift amount are sent to

the multiplexer 400.

Note that a codebook for quantizing the amplitudes of a plurality of pulses can be learnt in advance by using speech signals and stored. A learning method for the
5 codebook is disclosed, for example, in "An algorithm for vector quantization design", IEEE Trans. Commun., January 1980, pp.84-95) (reference 12).

The information of amplitudes and positions of voiced and unvoiced periods are output to the gain quantization
10 circuit 366.

The gain quantization circuit 366 receives the amplitude and position information from the sound source quantization circuit 350, and receives the voiced/unvoiced discrimination information from the mode discrimination
15 circuit 370.

The gain quantization circuit 366 reads out gain code vectors from a gain codebook 380 and selects one gain code vector that minimizes equation (16) below for the selected amplitude code vector or polarity code vector and the
20 position. Assume that both the gain of the adaptive codebook and the sound source gain represented by a pulse are vector quantized simultaneously.

When the discrimination information indicates a voiced sound, a gain code vector is obtained to minimize
25 D_k given by:

$$v(n) = \beta'_1 v(n - T) + G'_1 \sum_{i=1}^M g'_{ik} \delta(n - m_i - \delta(i)) \quad \dots (19)$$

This driving sound source signal $v(n)$ is output to the adaptive codebook circuit 500.

Subsequently, the response signals $s_w(n)$ are calculated in units of subframes by using the output parameters from the spectrum parameter calculation circuit 200 and spectrum parameter calculation circuit 210 using

$$s_w(n) = v(n) - \sum_{i=1}^{10} a_i v(n - i) + \sum_{i=1}^{10} a_i \gamma^i p(n - i) + \sum_{i=1}^{10} a'_i \gamma^i s_w(n - i) \quad \dots (20)$$

and are output to the response signal calculation circuit 240.

Second Embodiment

Fig. 2 is a block diagram showing the schematic arrangement of the second embodiment of the present invention.

Referring to Fig. 2, the second embodiment of the present invention differs from the above embodiment in the operation of a sound source quantization circuit 355. More specifically, when voiced/unvoiced discrimination information indicates an unvoiced sound, the positions that are generated in advance in accordance with a predetermined rule are used as pulse positions.

For example, a random number generating circuit 600 is used to generate a predetermined number of (e.g., M1)

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pulse positions. That is, the M1 values generated by the random number generating circuit 600 are used as pulse positions. The M1 positions generated in this manner are output to the sound source quantization circuit 355.

5 If the discrimination information indicates a voiced sound, the sound source quantization circuit 355 operates in the same manner as the sound source quantization circuit 350 in Fig. 1. If the information indicates an unvoiced sound, the amplitudes or polarities of pulses are
10 collectively quantized by using a sound source codebook 352 in correspondence with the positions output from the random number generating circuit 600.

Third Embodiment

Fig. 3 is a block diagram showing the arrangement of
15 the third embodiment of the present invention.

Referring to Fig. 3, in the third embodiment of the present invention, when voiced/unvoiced discrimination information indicates an unvoiced sound, a sound source quantization circuit 356 calculates the distortions given
20 by equations (21) below in correspondence with all the combinations of all the code vectors in a sound source codebook 352 and the shift amounts of pulse positions, selects a plurality of combinations in the order which minimizes the distortions given by:

$$D_{k,j} = \sum_{n=0}^{N-1} \left[e_w(n) - \sum_{i=1}^M g'_{ik} h_w(n - m_i - \delta(j)) \right]^2 \quad \dots (21)$$

and outputs them to a gain quantization circuit 366.

The gain quantization circuit 366 quantizes gains for a plurality of sets of outputs from the sound source quantization circuit 356 by using a gain codebook 380, and selects a combination of a shift amount, sound source code vector, and gain code vector which minimizes distortions given by:

$$D_{k,j} = \sum_{n=0}^{N-1} \left[x_w(n) - \beta'_i v(n - T) * h_w(n) - G'_1 \sum_{i=1}^M g'_{ik} h_w(n - m_i - \delta(j)) \right]^2 \quad \dots (22)$$

Fourth Embodiment

Fig. 4 is a block diagram showing the arrangement of the fourth embodiment of the present invention.

Referring to Fig. 4, in the fourth embodiment of the present invention, when voiced/unvoiced discrimination information indicates an unvoiced sound, a sound source quantization circuit 357 collectively quantizes the amplitudes or polarities of pulses for the pulse positions generated by a random number generating circuit 600 by using a sound source codebook 352, and outputs all the code vectors or a plurality of code vector candidates to a gain quantization circuit 367.

The gain quantization circuit 367 quantizes gains for the respective candidates output from the sound source

quantization circuit 357 by using a gain codebook 380, and outputs a combination of a code vector and gain code vector which minimizes distortion.

Fifth Embodiment

5 Fig. 5 is a block diagram showing the arrangement of the fifth embodiment of the present invention.

Referring to Fig. 15, in the fifth embodiment of the present invention, a demultiplexer section 510 demultiplexes a code sequence input through an input
10 terminal 500 into a spectrum parameter, an adaptive codebook delay, an adaptive codebook vector, a sound source gain, an amplitude or polarity code vector as sound source information, and a code representing a pulse position, and outputs them.

15 The demultiplexer section 510 decodes the adaptive codebook and sound source gains by using a gain codebook 380 and outputs them.

An adaptive codebook circuit 520 decodes the delay and adaptive codebook vector gains and generates an
20 adaptive codebook reconstruction signal by using a synthesis filter input signal in a past subframe.

A mode discrimination circuit 530 compares the adaptive codebook gain decoded in the past subframe with a predetermined threshold to discriminate whether the
25 current subframe is voiced or unvoiced, and outputs the

voiced/unvoiced discrimination information to a sound source signal reconstructing circuit 540.

The sound source signal reconstructing circuit 540 receives the voiced/unvoiced discrimination information.

5 If the information indicates a voiced sound, the sound source signal reconstructing circuit 540 decodes the pulse positions, and reads out code vectors from a sound source codebook 351. The circuit 540 then assigns amplitudes or polarities to the vectors to generate a predetermined
10 number of pulses per subframe, thereby reclaiming a sound source signal.

When the voiced/unvoiced discrimination information indicates an unvoiced sound, the sound source signal reconstructing circuit 540 reconstructs pulses from
15 predetermined pulse positions, shift amounts, and amplitude or polarity code vectors.

A spectrum parameter decoding circuit 570 decodes a spectrum parameter and outputs the resultant data to a synthesis filter 560

20 An adder 550 adds the adaptive codebook output signal and the output signal from the sound source signal reconstructing circuit 540 and outputs the resultant signal to the synthesis filter 560.

The synthesis filter 560 receives the output from the
25 adder 550, reproduces speech, and outputs it from a

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terminal 580.

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WHAT IS CLAIMED IS: ✓

1. A speech coding apparatus including at least
a spectrum parameter calculation section for
receiving a speech signal, obtaining a spectrum parameter,
5 and quantizing the spectrum parameter,
an adaptive codebook section for obtaining a delay
and a gain from a past quantized sound source signal by
using an adaptive codebook, and obtaining a residue by
predicting a speech signal, and
10 a sound source quantization section for quantizing a
sound source signal of the speech signal by using the
spectrum parameter and outputting the sound source signal,
comprising:
a discrimination section for discriminating a mode on
15 the basis of a past quantized gain of an adaptive
codebook;
a sound source quantization section which has a
codebook for representing a sound source signal by a
combination of a plurality of non-zero pulses and
20 collectively quantizing amplitudes or polarities of the
pulses when an output from said discrimination section
indicates a predetermined mode, and searches combinations
of code vectors stored in said codebook and a plurality of
shift amounts used to shift positions of the pulses so as
25 to output a combination of a code vector and shift amount

a multiplexer section for outputting a combination of an output from said spectrum parameter calculation section, an output from said adaptive codebook section, and an output from said sound source quantization section.

✓

10 an adaptive codebook section for obtaining a delay
and a gain from a past quantized sound source signal by
using an adaptive codebook, and obtaining a residue by
predicting a speech signal, and

```

        a discrimination section for discriminating a mode on
the basis of a past quantized gain of an adaptive
20 codebook;

```

a sound source quantization section which has a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from said discrimination section

indicates a predetermined mode, and outputs a code vector that minimizes distortion relative to input speech by generating positions of the pulses according to a predetermined rule; and

5 a multiplexer section for outputting a combination of an output from said spectrum parameter calculation section, an output from said adaptive codebook section, and an output from said sound source quantization section.

3. A speech coding apparatus including at least
10 a spectrum parameter calculation section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter,

an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by
15 using an adaptive codebook, and obtaining a residue by predicting a speech signal, and

a sound source quantization section for quantizing a sound source signal of the speech signal by using the spectrum parameter and outputting the sound source signal,
20 comprising:

a discrimination section for discriminating a mode on the basis of a past quantized gain of an adaptive codebook;

a sound source quantization section which has a
25 codebook for representing a sound source signal by a

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combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from said discrimination section indicates a predetermined mode, and a gain codebook for quantizing gains, and searches combinations of code vectors stored in said codebook, a plurality of shift amounts used to shift positions of the pulses, and gain code vectors stored in said gain codebook so as to output a combination of a code vector, shift amount, and gain code vector which minimizes distortion relative to input speech; and

a multiplexer section for outputting a combination of an output from said spectrum parameter calculation section, an output from said adaptive codebook section, and an output from said sound source quantization section.

4. A speech coding apparatus including at least

a spectrum parameter calculation section for receiving a speech signal, obtaining a spectrum parameter, and quantizing the spectrum parameter,

an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal, and

a sound source quantization section for quantizing a sound source signal of the speech signal by using the

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wherein a speech signal is reproduced by passing the sound source signal through a synthesis filter section constituted by spectrum parameters.

a discrimination section for discriminating a mode on the basis of a past quantized gain of a adaptive codebook, and

25 a codebook for representing a sound source signal by

a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from said discrimination section indicates a predetermined mode,

5 said sound source quantization section searching combinations of code vectors stored in said codebook and a plurality of shift amounts used to shift positions of the pulses so as to output a combination of a code vector and shift amount which minimizes distortion relative to input
10 speech, and further including

 a multiplexer section for outputting a combination of an output from said spectrum parameter calculation section, an output from said adaptive codebook section, and an output from said sound source quantization section; and

15 a speech decoding apparatus including at least

 a demultiplexer section for receiving and demultiplexing a spectrum parameter, a delay of an adaptive codebook, a quantized gain, and quantized sound source information,

20 a mode discrimination section for discriminating a mode by using a past quantized gain in said adaptive codebook,

 a sound source signal reconstructing section for reconstructing a sound source signal by generating
25 non-zero pulses from the quantized sound source

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a synthesis filter section which is constituted by spectrum parameters and reproduces a speech signal by filtering the sound source signal.

✓

an adaptive codebook section for obtaining a delay and a gain from a past quantized sound source signal by using an adaptive codebook, and obtaining a residue by predicting a speech signal,

a discrimination section for discriminating a mode on the basis of a past quantized gain of a adaptive codebook,

a codebook for representing a sound source signal by a combination of a plurality of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses when an output from said discrimination section indicates a predetermined mode,

said sound source quantization section for outputting
a combination of a code vector and shift amount which
minimizes distortion relative to input speech by
generating positions of the pulses according to a
5 predetermined rule, and further including

a multiplexer section for outputting a combination of
an output from said spectrum parameter calculation section,
an output from said adaptive codebook section, and an
output from said sound source quantization section; and

10 a speech decoding apparatus including at least

a demultiplexer section for receiving and
demultiplexing a spectrum parameter, a delay of an
adaptive codebook, a quantized gain, and quantized sound
source information,

15 a mode discrimination section for discriminating a
mode by using a past quantized gain in said adaptive
codebook,

a sound source signal reconstructing section for
reconstructing a sound source signal by generating
20 positions of pulses according to a predetermined rule and
generating amplitudes or polarities for the pulses from a
code vector when an output from said discrimination
section indicates a predetermined mode, and

a synthesis filter section which is constituted by
25 spectrum parameters and reproduces a speech signal by

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filtering the sound source signal.

8. A speech coding apparatus comprising: ✓

a spectrum parameter calculation section for
receiving a speech signal, obtaining a spectrum parameter,
5 and quantizing the spectrum parameter;

means for obtaining a delay and a gain from a past
quantized sound source signal by using an adaptive
codebook, and obtaining a residue by predicting a speech
signal; and

10 mode discrimination means for receiving a past
quantized adaptive codebook gain and performs mode
discrimination associated with a voiced/unvoiced mode by
comparing the gain with a predetermined threshold, and

further comprising:

15 sound source quantization means for quantizing a
sound source signal of the speech signal by using the
spectrum parameter and outputting the signal, and
searching combinations of code vectors stored in a
codebook for collectively quantizing amplitudes or
20 polarities of a plurality of pulses in a predetermined
mode and a plurality of shift amounts used to temporally
shifting a predetermined pulse position so as to select a
combination of an index of a code vector and a shift
amount which minimizes distortion relative to input
25 speech;

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multiplex means for outputting a combination of
outputs from said spectrum parameter calculation means,
said adaptive codebook means, said sound source
quantization means, and said gain quantization means.

10. An apparatus according to claim 9, wherein when mode discrimination indicates a predetermined mode, a predetermined number of pulse positions are generated by random number generating means and output to said sound source quantization means.

25 said gain quantization means quantizes a plurality of
 sets of outputs from said sound source quantization means

by using said gain codebook, and selects a combination of a shift amount, sound source code vector, and gain code vector which minimizes the predetermined distortion amount.

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ABSTRACT OF THE DISCLOSURE

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A speech coding apparatus includes a spectrum parameter calculation section, an adaptive codebook section, a sound source quantization section, a discrimination section, and a multiplexer section. The spectrum parameter calculation section receives a speech signal and quantizes a spectrum parameter. The adaptive codebook section obtains a delay and a gain from a past quantized sound source signal using an adaptive codebook, and obtains a residue by predicting a speech signal. The sound source quantization section quantizes a sound source signal using the spectrum parameter. The discrimination section discriminates the mode. The sound source quantization section has a codebook for representing a sound source signal by a combination of non-zero pulses and collectively quantizing amplitudes or polarities of the pulses in a predetermined mode, and searches combinations of code vectors and shift amounts used to shift the positions of the pulses to output a combination of a code vector and shift amount which minimizes distortion relative to input speech. The multiplexer section outputs a combination of outputs from the spectrum parameter calculation section, the adaptive codebook section, and the sound source quantization section.

FIG.2

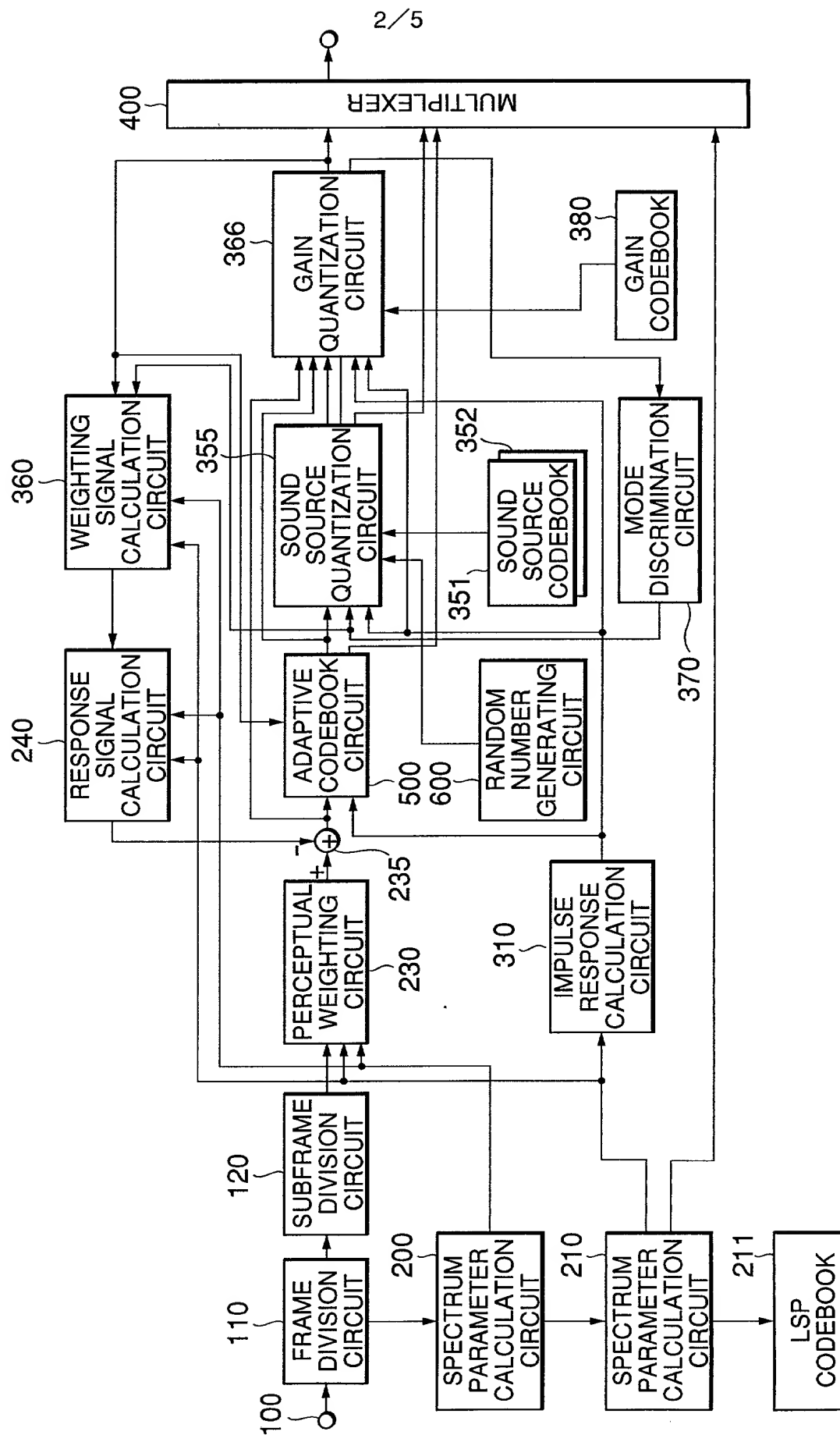
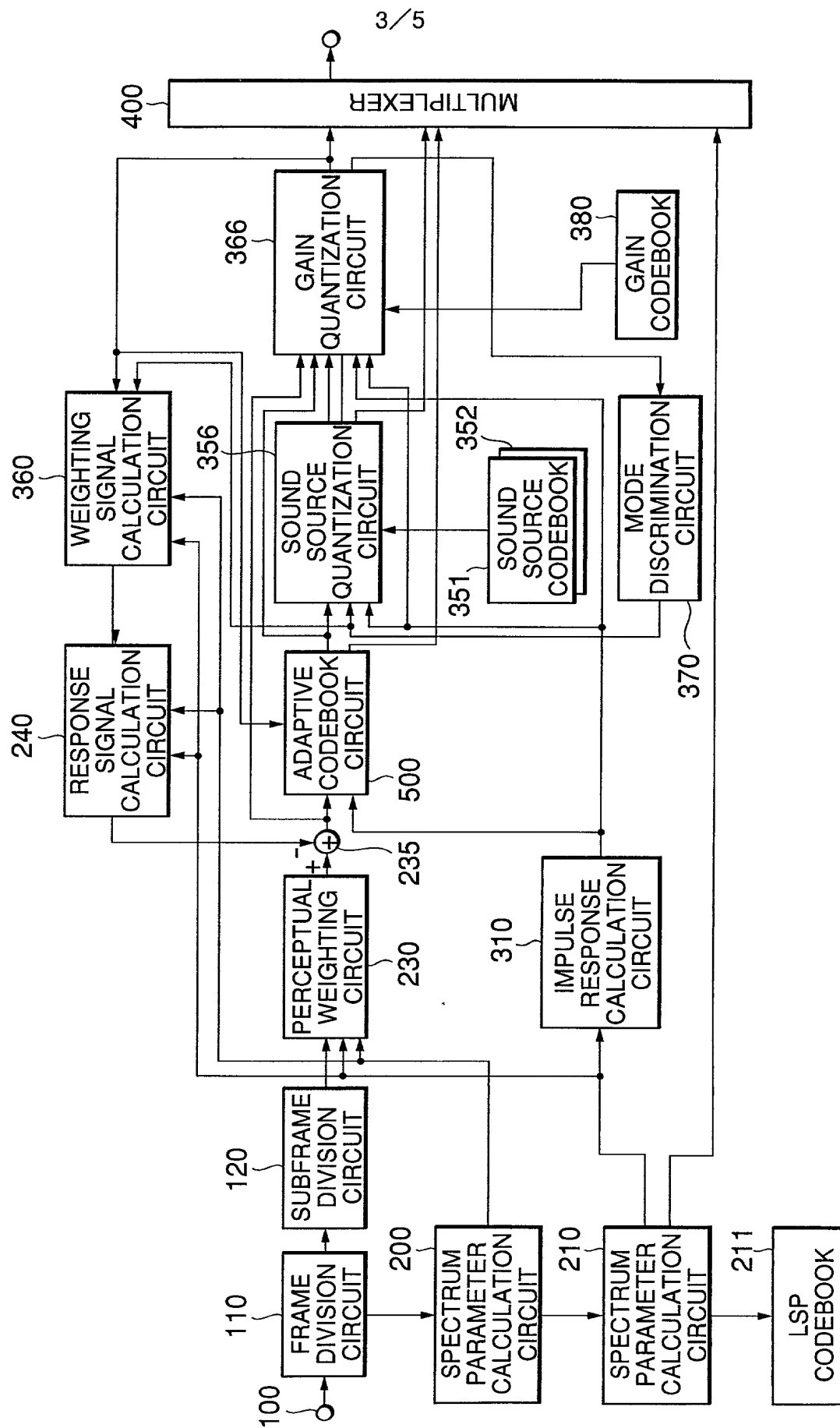


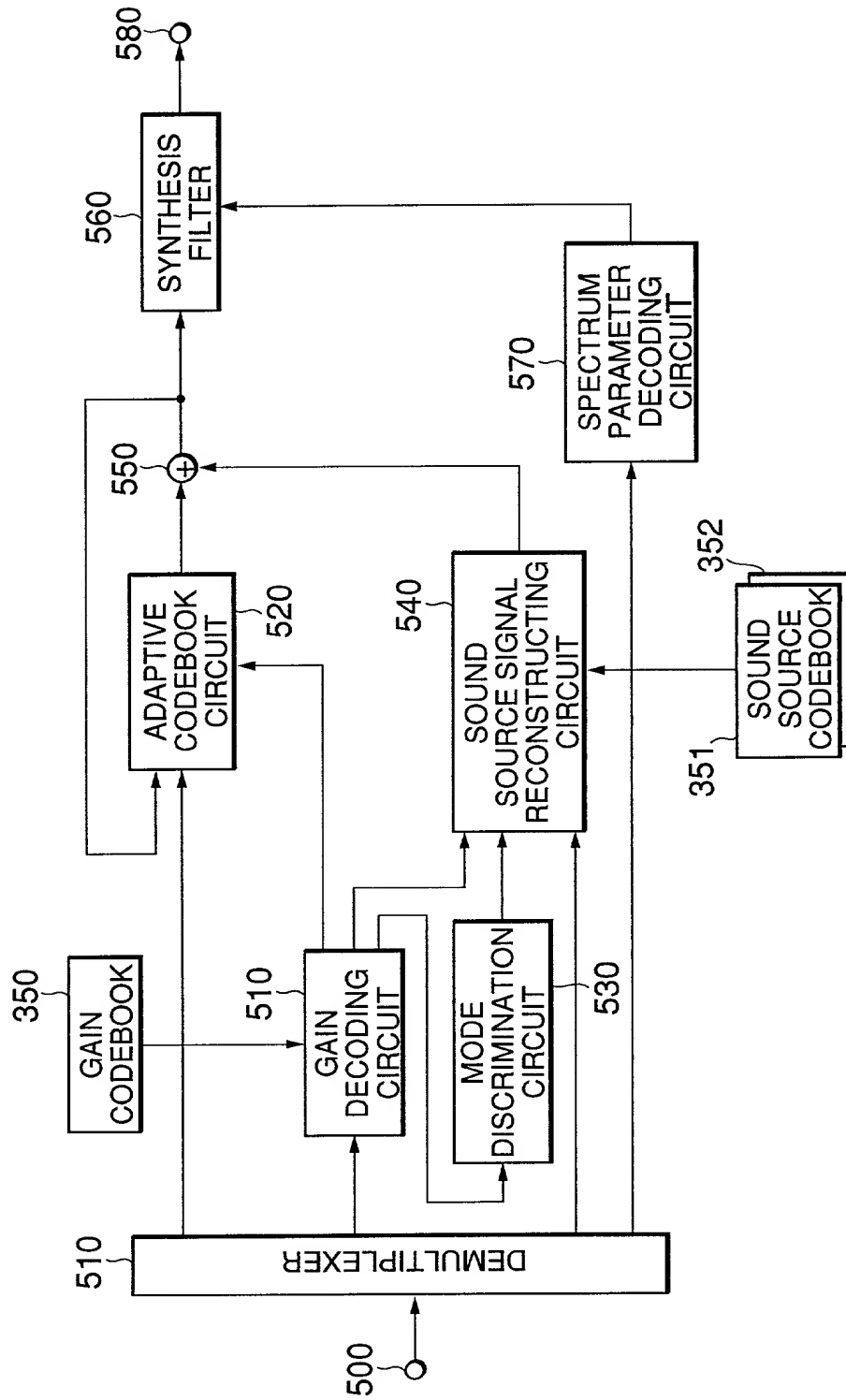
FIG.3



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FIG. 5



Application for United States Patent

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
SPEECH CODING APPARATUS AND SPEECH DECODING APPARATUS
 the specification of which:

(check one) ☒ is attached hereto
☐ was filed on _____, as
 Application Serial No. _____
 and was amended on _____.
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			priority claimed
145087/1998	Japan	11/5/1998	X
(Number)	(Country)	(Day/Month/Year Filed)	yes no
(Number)	(Country)	(Day/Month/Year Filed)	yes no
(Number)	(Country)	(Day/Month/Year Filed)	yes no

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
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Power of Attorney: As a named inventor, I hereby appoint C. Lamont Whitham, Reg. No. 22,424, Marshall M. Curtis, Reg. No. 33,138, and Michael E. Whitham, Reg. No. 32,635, as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to Whitham, Curtis & Whitham, Reston International Center, 11800 Sunrise Valley Dr., Suite 900, Reston, Virginia 20191. Telephone calls should be directed to Whitham, Curtis & Whitham at (703) 391-2510.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's Signature Kazunori Ozawa Date April 26, 1999

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Inventor's Signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full Name of Third Joint Inventor, If Any _____

Inventor's Signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full Name of Fourth Joint Inventor, If Any _____

Inventor's Signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

Full Name of Fifth Joint Inventor, If Any _____

Inventor's Signature _____ Date _____

Residence _____

Citizenship _____

Post Office Address _____

*Title 37, Code of Federal Regulations, § 1.56:

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.